

INOVA SUP DAY '26

POWERED BY ASF



PITCH #2

Data Extract



READY TO DIVE DEEPER?

- / KID structure
- / Notification platform
- / Compliance checklist
- / Information to extract
- / Key metrics
- / Dashboard contents
- / Data sources
- / Legal & regulatory requirements

SCAN THE QR CODE
TO LEARN MORE





KID Structure | PRIIPs REGULATION

1. What is this product?
2. What are the risks and what could I get in return?
3. What happens if the manufacturer is unable to pay out?
4. What are the costs?
5. How long should I hold it? Can I take money out early?
6. How can I complain?
7. Other relevant information



Notification platform

KIDs submission

- PRIIP manufacturers submit KIDs through ASF's notification platform
- Structured information is entered (some fields may be revised), and the KID PDF is uploaded
- For updates, both clean and tracked-changes versions are provided.



InovaSup Day 2026 03-09-2026 03:14:43 PM

Site institucional E-Mail Contactos



Outras Informações Sair

→ [Entrada](#) / [Divulgação de Informação](#) / [Gestão PRIIPs](#) / [Novo Registo de PRIIP](#)

Designação Comercial*	InovaSupDay 2026 - Sample		
Código do Produto*	S	Tipo de Produto*	
Data de Produção*		Data de Divulgação*	
Indicadores Sumários de Risco*			
Rendibilidade Garantida*			
Capital Garantido*			
Responsável*		E-mail Responsável*	
Língua*			
DIF*	Choose File No file chosen		
☐ Tomei conhecimento da Informação relativa ao Tratamento de Dados Pessoais *			
Em caso de submissão, os campos assinalados com (*) são de preenchimento obrigatório.			



INTEGRATION REQUIRED

Must connect to the existing notification platform and respect the 2-business-day legal deadline



Compliance checklist | KEY REVIEW AREAS

1. Document Structure & Presentation

- Maximum length of pages
- Clear, concise and readable language
- Mandatory statements and warnings included

2. Product Identification & General Information

- Product and manufacturer details
- Contact information and supervisory authority
- Issue/revision date and website references

3. Product Overview & Target Market

- Investment objectives and strategy
- Target retail investor profile
- Product features, benefits and insurance elements (where applicable)

4. Risk & Performance Disclosure

- Summary Risk Indicator (SRI) correctly presented
- Risk explanations and capital loss information
- Performance scenarios and underlying assumptions

5. Costs & Holding Period

- Full disclosure of all costs and charges
- Cost breakdown and impact on returns
- Recommended holding period and early exit conditions

6. Investor Rights & Additional Information

- Complaints handling process
- Information on guarantees or compensation schemes
- References to supplementary documentation and disclosures



Information to extract from PDF | QUANTITATIVE & QUALITATIVE DATA

Product Profile

- Product name and manufacturer
- Product type and investment objectives
- Target market
- Recommended holding period

Risk, Performance & Protection

- Summary Risk Indicator (SRI)
- Capital protection mechanisms
- Performance scenarios
- Potential gain and loss outcomes

Costs & Supervisory Data

- Entry, exit, and ongoing costs
- Structured data for trend analysis, risk monitoring, and reporting

[EXAMPLES]



Key metrics | SUPERVISORY & ANALYTICS

Product & Market indicators

- Product distribution by type and manufacturer
- Target market segmentation and distribution
- Risk level distribution
- Recommended Holding Period distribution
- Cost structure indicators
- Performance scenario indicators across similar products
- Value for Money indicators
- Evolution of product indicators over time
- Early-warning alerts for emerging supervisory risks

Supervisory & Compliance indicators

- Number and rate of compliant/non-compliant KIDs
- Most frequent regulatory deficiencies identified
- Compliance rates by manufacturer and product type
- Compliance trends over time
- Recurrent issues and risk patterns
- Number of corrections required before validation
- Early-warning alerts for emerging supervisory risks

[EXAMPLES]



Dashboard contents | SUPERVISORY & ANALYTICS

Market Intelligence & Product Analytics

- Interactive visualizations across market, manufacturer and product dimensions
- Drill-down analysis from market trends to individual products
- Filtering, segmentation and comparison capabilities
- Monitoring of product features, risks, costs and performance
- Trend identification and evolution over time

Compliance & Supervisory Monitoring

- Automated detection of non-compliance inconsistencies and data quality issues
- Real-time alerts based on configurable supervisory rules
- Identification of the breached legal or regulatory requirement
- Repository of compliance findings and recurring issues
- Recommended actions and draft communications for supervisor review



Governance, Auditability & Human Oversight

- Full traceability of all automated checks, calculations, and recommendations
- Audit trail of rules applied, alerts generated, actions performed, and users involved
- Flexible and configurable business rules, allowing adaptation to regulatory changes
- Human-in-the-loop approach: supervisors validate findings, approve actions and retain final decision-making responsibility
- Transparent and explainable outputs to support supervisory accountability



PRIIP's KID in PDF Format



Página 1 de 1

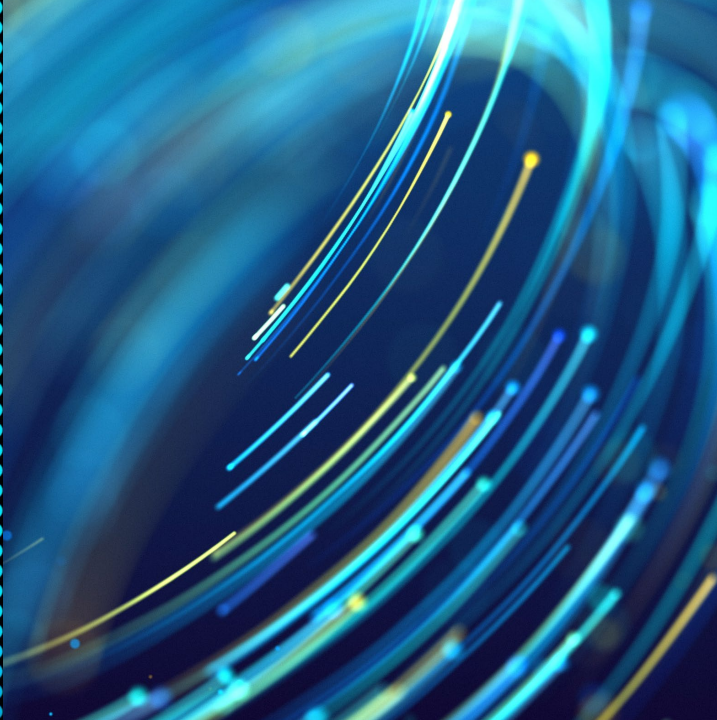
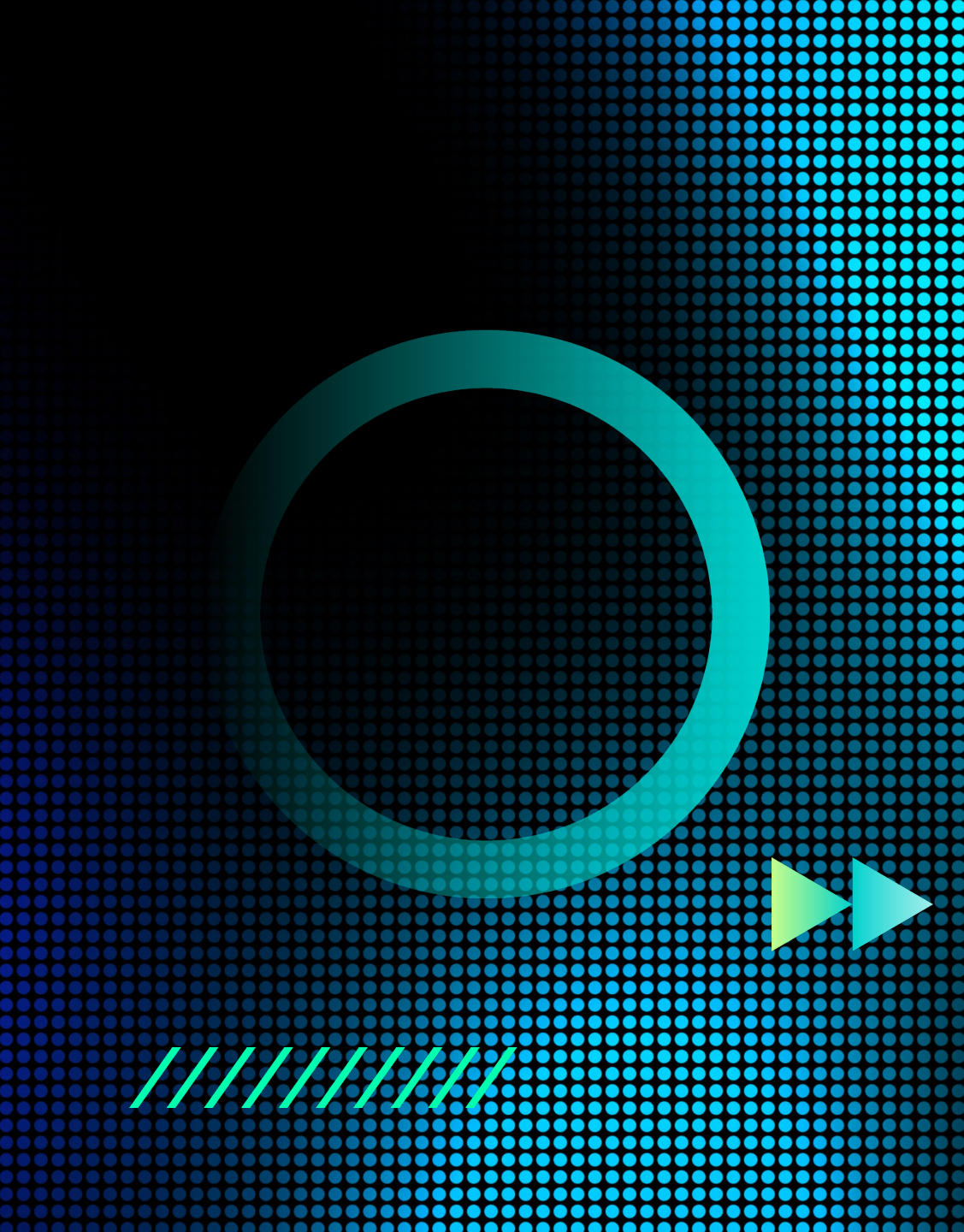
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Legal & Regulatory requirements

- Regulation (EU) 1286/2014 (PRIIPs Regulation)
- Commission Delegated Regulation (EU) 2017/653, laying down regulatory technical standards on the presentation, content, review and revision of the KID, as amended by Commission Delegated Regulation (EU) 2021/2268 (applicable since 1 January 2023);
- Law No. 35/2018, of 20 July — Annex II, transposing the PRIIPs Regulation into national law.



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 **DO YOU HAVE THE SOLUTION?**